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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/900,503	07/06/2001	Lawrence W. Hu	GUID012CON	5237
7590		07/17/2006	EXAMINER	
ALAN W CANNON		SMITH, JEFFREY A		
LAW OFFICE OF ALAN W CANNON		ART UNIT		
834 SOUTH WOLFE ROAD		PAPER NUMBER		
SUNNYVALE, CA 94086		3625		

DATE MAILED: 07/17/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

Office Action Summary	Application No. 09/900,503	Applicant(s) HU ET AL.	
	Examiner Jeffrey A. Smith	Art Unit 3625	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 17 April 2006.
- 2a) ☒ This action is **FINAL**. 2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-6, 9, 11-15, 17-20, 22-27, 32-37, and 38-49 (renumbered) is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 1-6, 9, 11-15, 17-20, 22-27, 32-37, and 38-46 (renumbered) is/are allowed.
- 6) ☒ Claim(s) 47-49 (renumbered) is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 06 July 2001 and 13 March 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.

Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).

Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152) |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

Response to Amendment

The response filed April 17, 2006 has been entered and considered.

Claims 1-6, 9, 11-15, 17-20, 22-27, 32-37, and 39-50 are pending.

Claims 7, 8, 10, 16, 21, and 28-31 have been canceled.

Claims 22 and 48 are currently amended.

Claim 38 has never been presented (see claim objection below).

Claim Objections

The numbering of claims is not in accordance with 37 CFR 1.126 which requires the original numbering of the claims to be preserved throughout the prosecution. When claims are canceled, the remaining claims must not be renumbered. When new claims are presented, they must be numbered consecutively beginning with the number next following the highest numbered claims previously presented (whether entered or not).

The Examiner notes that a claim "38" has never been presented. Accordingly, claims 39-50 are misnumbered.

Misnumbered claims 39-50 been renumbered as 38-49, respectively. Applicant should refer to such newly ascribed nomenclature in all future correspondence.

Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

Claims 47 and 48 (as renumbered) are rejected under 35 U.S.C. 102(b) as being anticipated by Glaser (U.S. Patent No. 2,450,194).

Glaser discloses (Fig. 1) a surgical retractor system for creating an opening through an incision in a patient (col. 1, lines 4-30). The system comprises a drive mechanism (col. 3, lines 19-35) having a main body (10) and first (12) and second (22) extensions extending from said main body, said first extension being movable relative to said second extension (col. 3, lines 14-35); and first and second retractor blades releasably attached to said first and second extensions (col. 2, lines 36-41), respectively, wherein said first and second

Art Unit: 3625

blades, when attached, are incapable of rotational displacement with respect to said first and second extensions (by virtue of the rectangular cross-section of the extensions and the rectangular opening (16, for example) of the elongated body (15, for example)).

Each of said first and second retractor blades comprises an elongated body (15, for example) having a first end (proximal) and a second end (distal), said first end having an opening (16, for example) to receive a respective one of said first and second extensions (shown in Fig. 2).

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claim 49 (as renumbered) is rejected under 35 U.S.C. 103(a) as being unpatentable over Glaser (U.S. Patent No. 2,450,194) in view of Symbas et al. (U.S. Patent No. 4,627,421).

Art Unit: 3625

Glaser does not disclose that at least one of said first and second retractor blades includes a plurality of open slots for receiving a suture therein.

Symbas et al., in a similar retractor system (col. 1, lines 5-13), discloses the provision of a plurality of open slots for receiving a suture therein.

It would have been obvious to one of ordinary skill in the art to have provided at least one of the first and second retractor blades of Glaser to have included a plurality of open slots in order that a surgeon may use the slots to retain the loose ends of the sutures with which he is working in the opening created by the retractor (col. 3, lines 18-23).

Allowable Subject Matter

Claims 1-6, 9, 11-15, 17-20, 22-27, 32-37, and 38-46 (as renumbered) are allowed.

Response to Arguments

Applicant's arguments with respect to claims 47-49 (as renumbered) have been considered but are moot in view of the new ground(s) of rejection.

Conclusion

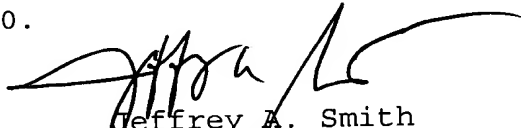
Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jeffrey A. Smith whose telephone number is (571) 272-6763. The examiner can normally be reached on M-F 6:30am-6:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Robert M. Pond can be reached on 571-272-6760. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.



Jeffrey A. Smith
Primary Examiner
Art Unit 3625

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